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## Neurofeedback Effects on Evoked and Induced EEG Gamma Band Reactivity to Drug-Related Cues in Cocaine Addiction

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## Neurofeedback Effects on Evoked and Induced EEG Gamma Band Reactivity to Drug-Related Cues in Cocaine Addiction

Timothy Horrell, MEng Ayman El-Baz, PhD Joshua Baruth, MS Allan Tasman, MD Guela Sokhadze Christopher Stewart, MD Estate Sokhadze, PhD

**ABSTRACT.** *Introduction.* Preoccupation with drug and drug-related items is a typical characteristic of cocaine addicted individuals. It has been shown in multiple accounts that prolonged drug use has a profound effect on the EEG recordings of drug addicts when compared to controls during cue reactivity tests. Cue reactivity refers to a phenomenon in which individuals with a history of drug abuse exhibit excessive psychophysiological responses to cues associated with their drug of choice. One of the aims of this pilot study was to determine the presence of an attentional bias to preferentially process drug-related cues using evoked and induced gamma reactivity measures in cocaine addicts before and after biobehavioral treatment based on neurofeedback. Another aim was to show that central sensorimotor rhythm (SMR) amplitude increase and frontal theta control is possible in an experimental outpatient drug users group over 12 neurofeedback sessions.

Method. Ten current cocaine abusers participated in this pilot research study using neurofeedback combined with Motivational Interviewing sessions. Eight of them completed all planned pre- and postneurofeedback cue reactivity tests with event-related EEG recording and clinical evaluations. Cue reactivity test represented a visual oddball task with images from

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the International Affective Picture System and drug-related pictures. Evoked and induced gamma responses to target and nontarget drug cues were analyzed using wavelet analysis.

*Results.* Outpatient participants with cocaine addiction completed the biobehavioral intervention and successfully increased SMR while keeping theta practically unchanged in 12 sessions of neurofeedback training. The addition of Motivational Interviewing helped retain patients in the study. Clinical evaluations immediately after completion of the treatment showed decreased self-reports on depression and stress scores, and urine tests collaborated reports of decreased use of cocaine and marijuana. Effects of neurofeedback cue reactivity test. In particular, evoked gamma showed decreases in power to nontarget and to a lesser extent target drug-related cues at all topographies (left, right, frontal, parietal, medial, inferior), whereas induced gamma power decreased globally to both target and nontarget drug cues. Our findings supported our hypothesis that gamma band cue reactivity measures are sufficiently sensitive functional outcomes of neurofeedback treatment. Both evoked and induced gamma measures were found capable to detect changes in responsiveness to both target and nontarget drug cues.

*Conclusion.* Our study emphasizes the utility of cognitive neuroscience methods based on EEG gamma band measures for the assessment of the functional outcomes of neurofeed-back-based biobehavioral interventions for cocaine use disorders. This approach may have significant potential for identifying both physiological and clinical markers of treatment progress. The results confirmed our prediction that EEG changes achieved with neurofeedback training will be accompanied by positive EEG outcomes in a cue reactivity and clinical improvements.

KEYWORDS. Cue reactivity, EEG, gamma band, neurofeedback, substance use disorders

#### **INTRODUCTION**

Drug addiction is a psychoactive substance use disorder (SUD) that can be characterized by the physiological dependence of an afflicted individual upon a drug of choice. This dependence is coupled with the withdrawal syndrome upon discontinuation of drug use as well as physiological and psychological dependence with craving, which motivates an addict to partake in drug-seeking behavior. Drug addiction is a chronic, relapsing mental disorder that results from the prolonged effects of drugs on the brain (Dackis & O'Brien, 2001; Leshner, 1997; Wexler et al., 2001). Addiction leads to behavioral, cognitive, and socially adverse outcomes that incur substantial costs to society. In 2006, it was estimated by the Substance Abuse and Mental Health Service Administration (2007) that 19.9 million Americans used illicit drugs, computing to roughly 8% of the United States population. In 2007, there were 2.1 million cocaine users, comprising 0.8% of the population. The National

Institute on Drug Abuse estimates that the total expenditure of drug-related complications is greater than \$500 billion when health care, legal procedures, and job loss are considered.

Prolonged drug use can have profound effects upon normal brain activity, which can be recorded and measured through the use of quantitative EEG (qEEG) techniques. One of the most difficult drug addictions to treat is that of cocaine, as it is associated with a high rate of morbidity and mortality. Patients suffering cocaine addiction typically show low interest in drug treatment, and hence treatment programs are often plagued with low retention rates. Some gEEG studies have highlighted signs of EEG activity that are significantly altered by cocaine abuse. It has been shown in several studies that cocaine abusers show increased beta as well as delta and alpha frequencies (Alper, Chabot, Kim, Prichep, & John, 1990; Alper, Prichep, Kowalik, Rosenthal, & John, 1998; Costa & Bauer, 1997; Herning, Glover, & Guo, 1994; Herning, Glover, Koeppl, Phillips, & London, 1994; Herning, Jones,

Hooker, Mendelson, & Blackwell, 1985; Noldy, Santos, Politzer, Blair, & Carlen, 1994; Prichep, Alper, Kowalik, & Rosenthal, 1996; Prichep et al., 1999; Prichep et al., 2002). These changes are thought to be caused both by the neurotoxic side effects of cocaine use and as a result of the withdraw process (Alper, 1999). In light of these findings, an effective and noninvasive method for treating the qEEG manifestations of addiction is needed. Neurofeedback is a technique employed to modify the electrical activity of the brain, including EEG, event-related potentials (ERP), slow cortical potentials, and other electrical activity of cortical origin. Detailed review of clinical efficacy of neurofeedback methods in SUD treatment and historic aspects of biofeedback-based behavioral intervention for drug addiction can be found in T. M. Sokhadze, Cannon, & Trudeau (2008) and Trudeau (2005).

Preoccupation with drug and drug-related items is a typical characteristic of cocaine addicted individuals. It has been shown in multiple accounts that prolonged drug use has a profound effect on the EEG recordings of drug addicts when compared to controls during cue reactivity tests. Cue reactivity refers to a phenomenon in which individuals with a history of drug abuse exhibit excessive verbal. physiological. and behavioral responses to cues associated with their drug of choice (Carter & Tiffany, 1999; Franken, de Haan, van der Meer, Haffmans, & Hendriks, 1999), suggesting a rearranging of neuronal networks in the brain of addicted individuals.

In cocaine addiction, items related to cocaine and drug paraphernalia are repeatedly selected by attention for conscious processing, and drug-related representations are disproportionately tagged as relevant. Although studies with active cocaine users have indicated a strong physical reaction to drug-related stimuli (Carter & Tiffany, 1999, Childress, Ehrman, McLellan, MacRae, & O'Brien, 1994; Childress et al., 1999; Grant et al., 1996, London, Ernst, Grant, Bonson, & Weinstein, 2000), research examining cognitive aspects, for example, attentional processes in cocaine addiction has been

limited (Franken, Kroon, & Hendriks, 2000; Robbins, Ehrman, Childress, & O'Brien, 1997). Several research studies provided support for the hypothesis that the process of alteration of attention takes place in addicts (Hester, Dixon, & Garavan, 2006; Lyvers, 2000; Robinson & Berridge, 1993), so-called attentional bias (Franken, 2003; Franken et al., 1999; Franken et al., 2000), and drug-related cues attain greater salience and motivational significance (Garavan et al., 2000; Koob, 1999; Koob & Le Moal, 2001; Robbins, Ehrman, Childress, Cornish, & O'Brien, 2000).

Cue reactivity expressed in physiological and behavioral responses to stimuli associated with the preferred substance of abuse (alcohol, nicotine, cocaine, heroin, etc.) is relatively well explored (Carter & Tiffany, 1999; Childress et al., 1999; Drummond, Tiffany, Gautier, & Remington, 1995; Ehrman et al., 1998; Lubman, Peters, Mogg, Bradley, & Deakin, 2000). One of the cognitive components of cue reactivity in substance abusers is the preferential allocation of attentional resources to items related to drugs (Lubman et al., 2000; Stormak, Laberg, Nordby, & Hugdahl, 2000). It has been proposed that conditioned sensitization in neural pathways associating incentives with stimulus items may be responsible for cue reactivity (Franken, 2003; Weiss et al., 2001). Several neuroimaging studies reported effects associated with drug cue-related responses and craving in cocaine addiction (Garavan et al., 2000; Hester & Garavan, 2004; Hester et al., 2006; Johnson, Chen, Schmitz, Bordnic, & Shafer, 1998; Kilts, Gross, Ely, & Drexler, 2004). Restructuring and reallocation of attentional resources suggests an overattention to drug-related cues and is believed to relate directly to the psychological symptoms of craving, which leads to repeated drug use and relapse.

Several studies have been conducted to quantify the changes in qEEG values as a result of acute cocaine use as well as changes seen after prolonged abstinence and validated the findings that cocaine abusers typically elicit increased power in the beta, delta, and alpha frequency patterns as compared to controls (Alper, 1999; Alper et al., 1990; Alper et al., 1998; Costa & Bauer, 1997; Herning, Gllover, Koeppl, et al., 1994; Herning et al., 1985; Kilts et al., 2004; Noldy et al., 1994; Prichep et al., 2002). A more informative method of testing qEEG differences, as compared to resting, eyes closed EEG recordings, is through the use of both visual and auditory oddball tasks. SUD patients have been shown to illustrate a much higher response to emotionally salient stimuli. Hence in a visual oddball task involving neutral (e.g., household items and nature pictures) and drug-related images drug addicts have shown a much higher response to drug-related cues (Sokhadze, Stewart, et al., 2008).

Attentional bias toward the processing of salient stimuli is hypothesized to be an implicit cognitive process that is poorly controlled. Such automatic processing is similar to the orienting reflex to novel and significant signals. The automatic nature of addictive behaviors was outlined as well by other studies (Hester et al., 2006; Lubman et al., 2000). Drug-abuse-related after-effects in the medial prefrontal cortex could be accompanied by impairments in emotional regulation, and specifically in inhibition of all motivations and emotions other than craving (London et al., 2000; Volkow, Fowler, & Wang, 2003). Diminished prefrontal cortex control of the fronto-striatal circuits allows more habitual responses mediated by the posterior and subcortical (e.g., basal ganglia, striatum) structures to take over regulation of behavior.

The gamma band (30–80 Hz), a high frequency rhythm of EEG activity, and more specifically gamma activity within 30–40 Hz range, is thought to represent the allocation of attentional resources and cognitive processes which take place in the brain (Karakaş et al., 2006; Muller, Gruber, & Keil, 2000; Tallon-Baudry, Bertrand, Henaff, Isnard, & Fischer, 2005; Tallon-Baudry, Bertrand, Peronnet, & Pernier, 1998). The gamma frequency oscillations have been speculated to play a role in several important cognitive functions. Widespread gamma band activity, which can be seen in the EEG recordings, may be connected to feature "binding" from separate parts of the brain in the attempt to make a coherent image from several perceived senses (Tallon-Baudry, 2003; Tallon-Baudry & Bertrand, 1999; Tallon-Baudry et al., 2005; Tallon-Baudry et al., 1998). More recent data involving new techniques such as magnetoencephalogram and intracortical data collection have implicated the gamma band, especially frequencies around 40 Hz, in several higher level cognitive functions such as memory and learning through the synchronization of cortical cell networks (Gray & Singer, 1989; Muller et al., 2000). Additional processes in which the gamma band has been highlighted as having a possible role are somato-sensory perception. visuo-motor coordination. music perception, and conscious recollection (Herrmann & Mecklinger, 2000, 2001; Muller et al., 2000). These connections are thought to be reflected through the calculation of the power of the filtered gamma band at a given electrode of interest when presented with the appropriate stimulus.

The oscillatory response of gamma may be broken down into two main groups: evoked and induced responses. These two gamma responses may be discriminated on the basis of temporal localization and if they are time-locked to a stimulus. The early, or evoked, gamma responses occur in the 0 to 180-ms poststimulus range. These early responses have been attributed to the early information processing linked to the sensation and perception of stimuli. These responses are also time locked to a specific stimulus. In contrast the late, or induced gamma response, manifests in the 280 to 480-ms poststimulus time window or even later, depending on stimulus modality and complexity. These induced responses show a jitter in latency and are seen in task conditions that require pattern recognition or higher order processes of the short-term memory (Tallon-Baudry & Bertrand, 1999). As such, these patterns have been linked to the possible indication of perceptual and cognitive processes. Based on these variable responses it is hypothesized that the gamma band is multifunctional and represents a integration of attentional broad-based resources and cognitive patterns.

It should be noted that the early time locked gamma response obtained remains less affected by changes in stimulus type and task descriptions and level of task complexity. As a result of these findings it has been suggested that early, time locked gamma is actually a sensory oriented process (Karakas et al., 2006). In contrast to these findings, the late and nonphase locked gamma activity (occurring in the 200-500-ms window range) varies according to the levels of task complexity and stimulus (Herrmann & Mecklinger, 2000; Tallon-Baudry & Bertrand, 1999). These differences suggest that the late induced gamma response could be interpreted as perception process and higher cognitive function (Karakaş et al., 2006; Tallon-Baudry, 2003).

Another measurement variable to highlight differences in attentional resources altered during drug addiction is dense-array ERP. The most commonly studied ERP is the P300 which looks at the window 250- to 600-ms poststimulus. It has been suggested the amplitude of this waveform may be attributed to the brain allocating attentional resources while the latency period has been correlated to stimulus classification processes. The P300 may be subdivided into amplitudes occurring over either the frontal regions or centro-parietal regions, and are named P3a and P3b, respectively. When collected during the administration of an oddball task, as was done during this research, the P3a is correlated with an orientation of attention to a significant stimulus and processing of novelty, whereas P3b is thought to represent sustained attention upon a given stimulus (Katayama & Polich, 1998).

It has already been reported by the authors that significant changes occur in the ERP as a result of chronic cocaine use and are observable even after long periods of abstinence in recovering cocaine addicts (Sokhadze, Stewart, et al., 2008). Changes reported include extended P300 latency. It was also shown that larger P3a and P3b amplitudes would be seen in addicts in response to drug cues as compared to controls. The results demonstrated clearly heightened ERP responses to drug-related cues in addicted individuals. It is reasonable to propose that excessive reactivity during exposure to drug cues in addicts can be detected not only in ERP but also in evoked and induced gamma responses. At some extent it is possible that evoked gamma responses might be even more sensitive than the P300 component of the ERP which is known to be a premorbid trait in SUD and many other psychopathologies such as schizophrenia, bipolar disorder. and affective disorders (Polich & Herbst, 2000). Early, exogenous ERPs occurring within 50- to 200-ms poststimulus (e.g., P100, N100) are sufficiently well studied in the cue reactivity paradigm (Franken, van Strien, & Niis, 2006). In our pilot study using pictorial drug-related cues several significant group differences were found in centro-parietal P100 and occipito-parietal N170 ERP components. In particular, the SUD group as compared to controls had more prolonged P100 latencies and higher amplitude to nontarget drug cues in the right hemisphere, whereas P100 amplitude to target-drug cues was higher in cocaine addicts in both hemispheres (Sokhadze, Singh, et al., 2008).

It is thought that neurofeedback may be a noninvasive method of treatment that can lower drug-oriented attention and behavior, including craving. These changes may be measurable through the use of qEEG techniques such as gamma analysis and ERP calculation. Analysis of the qEEG results have shown that at baseline cocaine addicts exhibit decreased delta and theta activity but increased alpha and frontal beta as compared to controls (Herning, Glover, & Guo, 1994; Herning, Glover, Koeppl, et al., 1994; Herning et al., 1985). It is thought therefore that drug addicts may benefit from a SMR neurofeedback protocol similar to that used in the treatment of attention deficit hyperactivity disorder (ADHD) patients. It is the hope of this research that through measuring pre- and posttreatment normalized power indices in the gamma band range we will be able to show reduced response to drug related items in postneurofeedback cue reactivity tests in cocaine addicts. Both evoked and induced gamma power were analyzed at pre- and postneurofeedback training time points and then compared for any statistical differences between

topographic groupings of electrodes in the hope of highlighting topographic differences in the left and right hemispheres as well as in the anterior and posterior regions of the brain.

Our neurofeedback training protocol included up to 3 motivational interviewing (MI) sessions (Miller & Rollnick, 2002) as an integral part of biobehavioral intervention in outpatients, as we always emphasized that outpatient treatment programs are more effective in drug abusers when neurofeedback training is combined with any other cognitive-behavioral therapy treatment modalities (Sokhadze, Cannon, et al., 2008). Several studies of brief MI with cocaine abusers (Stotts, Schmitz, Rhoades, & Grabowski, 2001; Stotts, Potts, Ingersol, George, & Martin, 2006), including our own pilot study (Sokhadze, Potts, Martin, & Stotts, 2005), reported that cocaine dependent patients with lower initial motivation to change following brief MI intervention were more likely to achieve abstinence than those who did not receive MI intervention. Due to its brevity. MI is best suited to enhance compliance and facilitate treatment engagement (Burke, Arkowitz, & Menchola, 2003; Miller & Rollnick, 2002; Treasure, 2004), which was one of the main rationales why we selected MI to engage patients in neurofeedbackbased biobehavioral neurotherapy. Our hypotheses in this study were that outpatient cocaine users would show improvement in behavioral, EEG and clinical measures following 12 sessions of neurotherapy (SMR/ theta neurofeedback and MI) and that repeated post-neurofeedback cue reactivity test would show decreased evoked and induced gamma frequency response to both target and nontarget, drug-related stimuli.

#### **METHOD**

#### **Participants: Recruitment Process**

Patients with current cocaine use or cocaine dependence record were referred from the University of Louisville Hospital, drug abuse treatment outpatient services, such as Jefferson County Alcohol and Drug Abuse Center (JADAC), and other psychiatric ambulatory units. Dr. Stewart, a coauthor in this study, is a medical director at JADAC and a clinical consultant at two residential addiction treatment centers located in Louisville metro area. He provided a substantial number of referrals through these programs and conducted MI sessions. Participating individuals with SUD were provided with full information about the study including the purpose, requirements, responsibilities, reimbursement, risks, benefits, alternatives, and role of the local IRB. The consent forms were reviewed and explained to all participants who expressed interest to participate in cognitive tests with EEG recording, MI and neurofeedback sessions. If the individual agreed to participate, she or he signed and dated the consent form and received a copy countersigned by the investigator who obtained consent.

All procedures were conducted within the facilities of the Department of Psychiatry and Behavioral Science and the University of Louisville Hospital outpatient clinic. Initial contact with prospective participant was typically made via telephone screening. Responders were telephone screened to meet initial inclusion criteria. Following telephone screening, the participants received a psychiatric assessment in the laboratory to verify screening results and rule out Axis I diagnoses using Structured Clinical Interview for DSM-IV (First, Spitzer, Gibgon, & Williams, 2001). Individuals who participated in the research study were reimbursed for their time and transportation costs. Payment methods followed the University of Louisville Health Science Center's Committee for the Protection of Human Subjects' guidelines concerning reimbursement for research time and parking. Participants were paid \$20 per hour for completing required research activities (e.g., EEG/ERP tests, providing urine sample, completing self-report forms, neurofeedback session, etc.) at each visit.

#### Psychiatric Status Questionnaires, Drug Use, and Psychosocial Functioning Screening

The Structured Clinical Interview for DSM-IV (First et al., 2001) was used for Axis I diagnoses. Posttraumatic stress disorder (PTSD) was assessed using the Posttraumatic Symptom Scale-Self-Report (PSS-SR; Foa, Cashman, Jaycox, & Perry, 1997; Foa, Steketee, & Rothbaum, 1989) questionnaire. The Beck Depression Inventory (Beck, Steer, & Brown, 1996) was used to measure symptoms of depression. PTSD and depression scores were assessed both before and after treatment. Handedness of patients was assessed using the Edinburgh inventory (Oldfield, 1971). Scores from the Addiction Severity Index were used to measure problem severity in the areas of medical, employment, drug abuse, legal, family, social, and psychiatric difficulties (McLellan, Luborksy, Woody, & O'Brien, 1980). Cocaine Negative Consequences Checklist (Michalec et al., 1996) was used to assess short-term and long-term adverse effects resulting from cocaine use. Psychosocial adjustment was assessed using the Social Adjustment Scale (Weissman & Bothwell, 1976).

Qualitative urine toxicology screens (DrugCheck 4, NxStep, Amedica Biotech Inc., Hayward, CA) were conducted in each participant to confirm cocaine abuse. In addition, qualitative urine toxicology screens for amphetamines, opiates, and marijuana were performed to assess presence of additional abused substances (e.g., amphetamine, opiates, marijuana). Positive test for marijuana was not considered as exclusion criteria. Qualitative Saliva drug test (ALCO SCREEN, Chematics, Inc., North Webster, IN) was used during each visit to rule out current alcohol use. Urine drug screens were conducted at the intake stage and at postneurofeedback assessment stage.

#### Participants in the Study

Ten cocaine-abusing/dependent individuals (2 female, 8 male; M age = 44.6 ± 8.3, range = 35–54 years, 70% Afro-Americans) participated in the study. Eight of them were current cocaine users, and all participants were without PTSD or any other comorbid mental conditions. Seven participants tested positive for cocaine, and 7 tested positive for marijuana use as well. One tested positive for opiates and admitted use of heroin along with crack cocaine. Two participants who did not test positive were recovering addicts enrolled in this study after the inpatient JADAC rehabilitation course with abstinence period of less than 30 days. Their use of cocaine within a month was confirmed by the hospital records. One of them tested inconclusive positive for cocaine at intake, but a repeated test on the following week did not confirm drug use. Therefore the majority of our outpatient population consisted of current cocaine users, with more than half using marijuana as a second drug of choice. The preferred form of drug administration was smoking crack cocaine. Only 1 cocaine addict participant in this study used cocaine intravenously. The majority of addicted participants (80%) reported regular use of nicotine/smoking. None of the participants in the group were in any treatment program other than participating in Narcotics Anonymous, Alcoholic Anonymous meetings, or local church-based anti-drug counseling programs. Only one participant was left handed. Participants enrolled in the study were fully informed about the nature of this research and signed informed consent form approved by the Institutional Review Board of the University of Louisville. For the specimen collection (urine drug screens and alcohol saliva tests) participants signed a separate consent form also approved by the Institutional Review Board within the same study protocol.

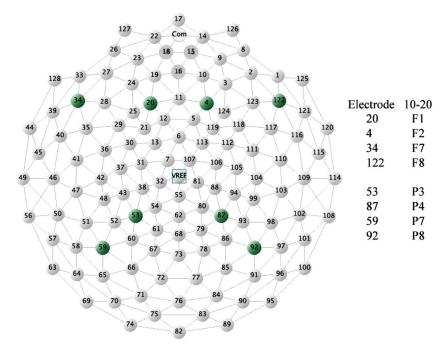
#### Cue Reactivity Test: Stimulus Presentation, EEG Data Acquisition, and Signal Processing

All stimulus presentation, behavioral, and subjective response collection was controlled by a computer running E-prime software (Psychology Software Tools, Sharpsburg, PA). Visual stimuli were presented on a 15-in. flat-panel display. Behavioral responses (e.g., reaction time) were collected with a five-button keypad (Serial Box, Psychology Software Tools, Sharpsburg, PA). Participants were instructed to press key number 1 when they saw a picture of

target category and not to press a key to nontarget category images. In all experiments participants were seated in a chair with their chin in a chinrest. The chinrest was placed so that participant's eyes were 50 cm from the center of the flat panel screen. Breaks were provided every 10 min. All EEG data were acquired with a 128-channel Electrical Geodesics system (Net Station 200, v. 4.0; Electrical Geodesics Inc., Eugene, OR) running on a Macintosh G4 computer. EEG data are sampled at 500 Hz, 0.1 to 100 Hz analog filtered, referenced to the vertex (Cz). The Geodesic Sensor Net is a lightweight elastic thread structure containing silver/silver-chloride electrodes housed in a synthetic sponge on a pedestal. The sponges are soaked in a potassium chloride solution to render them conductive. Impedance of sensors was in maintained below the range recommended by the EGI manual (40 kOhm). Stimuluslocked EEG data are segmented off-line into 1,000-ms epochs spanning 200 ms prestimulus to 800 ms poststimulus around the critical stimulus events. For example in our cue reactivity task the events were (a) neutral target of household category, (b) neutral nontarget of household category, (c) neutral target of animal category, (d) neutral nontarget of animal category, (e) drug target, (f) drug nontarget, and (8) neutral nontarget nature images (standards). Frequency of targets for each category (household, animal, and drug) was 25%. There were always 50% of neutral pictorial (all nondrug, neutral other than household or animal category) standards in each block of trials. Data were first visually inspected and then digitally screened for artifacts (eye blinks, movement, etc.), and bad trials were removed using built-in EGI Net Station artifact rejection tools. The remaining data were sorted (segmented) by condition and exported for further analysis using MATLAB routines described in the Data Analysis section. EEG sites presented in Figure 1 were selected for evoked and induced gamma response analysis.

*Pictorial stimuli*. The pictorial materials were taken from the International Affective

FIGURE 1. Graphical illustration of electrodes in the EGI layout format. *Note.* Electrodes selected for this particular experiment included the EGI layout analogues of 10–5 system sites: F1, F2, F7, F8, P3, P4, P7, and P8 electrodes.

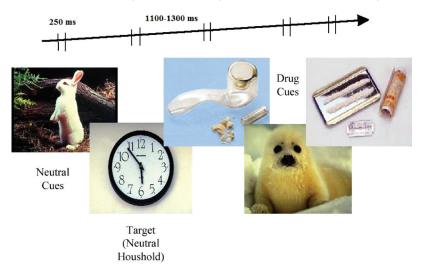


Picture System (IAPS, Lang, Bradley, & Cuthbert, 2001). Numbers of each IAPS picture used in the study are available upon request. Cocaine images were selected and validated by a coauthor (ES) during his postdoctoral fellowship at Rice University (Houston, TX). In that prior study (Potts, Martin, Stotts, George, & Sokhadze, 2003), 25 cocaine abusing patients rated 115 cocaine-related images on 5-point scale (5 being high) as to how evocative each drug image was. The mean rating for the entire set was 2.66 (SD = 0.48). There were selected 30 images that had the highest rating (all 30 with mean rating above 3.0) for use in this study. Valence, arousal, and dominance rates were matched within each set of images in neutral and traumatic stress categories using ratings from the IAPS database (Lang et al., 2001). The experiment used pictures from two neutral categories as targets: neutral (household items and animals) and one drug category (cocaine and drug paraphernalia). Participants were instructed to respond to stimulus items from one of the categories, ignoring the others within each block (e.g., targets are household items in a "neutral" block; Figure 2). The order of blocks (with 240 trials per block) was counterbalanced. In the task a stimulus was presented on a screen for 200 ms, whereas recording of EEG data occurred for 1,000 ms. Intertrial interval varied in the 1500 to 2000 ms range to avoid anticipation effects. Each of the three blocks of trials was followed by a short break. The task took approximately 30 min to complete. The cue reactivity test was followed by a 10- to 15-min debriefing to let cocaine cue-induced craving to fade out. Repeated cue reactivity was administered within a week after completion of 12 sessions of neurofeedback training.

Neurofeedback procedure. During neurofeedback treatment the participants were trained to enhance amplitude of SMR within specified frequency band (12-15 Hz at C3 with a monopolar reference on the left mastoid) and/or decrease (suppress) amplitude of Theta frequency bands (4–7 Hz at F3 with monopolar reference to the left mastoid) over 12 sessions (2 sessions/week). Visual and auditory real time online feedback was provided using a C-2 J&J Engineering device with Physiodata software (J&J Engineering Inc, Poulsbo, WA). Each session in the SMR/Theta protocol was conducted using a standardized procedure lasting no more than 30 min.

Immediately after attachment of electrodes and impedance check (<5 kOhms) and

FIGURE 2. Examples of visual stimuli used during the cue reactivity test. *Note*. In this experiment targets were household items (25%, target neutral cues). Drug cues were used as distracters (25%, nontarget drug cues), whereas animals and other nature images were nontarget neutral cues (50%, nontarget standard cues).



4-min-long baseline recording, participants performed four 7-min-long blocks of neurofeedback training (operant conditioning of specified EEG frequencies—suppression of Theta and enhancement of SMR). EEG was recorded with the sampling rate of 1024 Hz recorded from C3 with reference on the left mastoid and ground electrode placed on the right earlobe. The EEG biofeedback procedure was based on Lubar's ADHD protocol in its late modifications (Lubar, 2003), and the first part of Scott and Kaiser's modification of Peniston's brainwave training protocol for alcohol/ drug abuse treatment (Scott, Kaiser, Othmer, & Sideroff, 2005). During neurofeedback training, patients were trained to increase their SMR amplitude and decrease or keep on the same level their slow wave activity (e.g., theta). Out modified neurofeedback training protocol consisted of rewarding enhanced EEG amplitudes at the sensorimotor strip (C3) in the 12–15 Hz frequency range while inhibiting or at least trying to keep on the same level excessive low frequency (4–7 Hz) at the frontal F3 site. Self-adjusting thresholds were used for continuous visual and auditory feedback. SMR and theta amplitude changes (in percentage vs. mean baseline level values) were calculated on a per minute basis. All four blocks of neurofeedback training included SMR enhancement, whereas two out of four had theta level control included along with the SMR enhancement task. One of these blocks included SMR/Theta ratio training.

As well as recording EEG, we also recorded electromyogram from the left neck, skin conductance level, and skin temperature for monitoring of associated peripheral physiological measures changes during neurofeedback session. Physiological data were stored for further analysis.

#### Motivational Interviewing Procedure

Motivational Interviewing (MI; Miller & Rollnick, 2002; Treasure, 2004) is a brief psychotherapeutic intervention for behavioral change aimed to bring about rapid commitment to change addictive behaviors. The MI (referred also as Motivation Enhancement Therapy) is designed to increase the compliance and probability of treatment entry and abstinence (Burke et al., 2003). This behavioral therapy is considered to be especially useful for the drug-dependent individuals who are ambivalent about changing their habits, since MI is specifically targeting less motivated individuals. Two or three forty-five minute-long MI sessions were conducted by Dr. Stewart, specialist in addiction psychiatry trained in Motivation Enhancement Therapy. Each participant received at least two sessions of MI, whereas 5 participants from the group volunteered for a third (optional) MI session. There was at least 1 week waiting period between MI visits.

#### Data Analysis

Power and wavelet. Data were collected and stored using Net Station (Electrical Geodesics Inc., Eugene, OR). Immediately following the cue reactivity test, the EEG data were tagged according to the appropriate triggers in Net Station and segmented into the appropriate response categories (e.g., drug-target, drug-nontarget, neutraltarget, and neutral-nontarget) and exported to MatLab for wavelet analysis. Waveleting was used to elucidate the frequency components of a signal as they vary in time. By plotting the resulting wavelet data it was possible to measure the precise timing and strength of the gamma response, both early evoked and late induced, in relation to a given cue. The data were subject to wavelet analysis using the continuous Morlet window and the continuous wavelet transform (Figure 3). The waveleted signal was further passed on for band pass filtering using a designed Harris 7 window custom (Figure 4). The flow chart of data processing is shown in Figure 5.

FIGURE 3. Continuous wavelet transform: S (Scale) = 1/frequency; Tau ( $\tau$ ) = time shift; Psi ( $\psi$ ) = mother wavelet (in our case the Morlet window).

$$CWT^{\psi}_{x}(\tau,s) = \Psi^{\psi}_{x}(\tau,s) = rac{1}{\sqrt{|s|}} \int x(t)\psi^{*}\left(rac{t- au}{s}
ight) dt$$

FIGURE 4. Top: Harris 7 window with a band pass filter centered at 35 Hz is created (using 725 samples). Middle: Impulse response of the designed Harris Window. Bottom: Pass band frequencies of the constructed band pass filter.

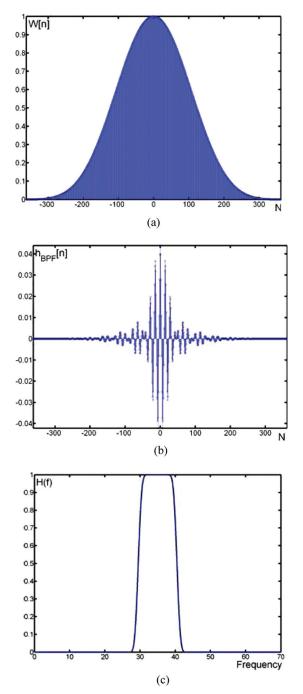


FIGURE 5. Flow-chart outlining the power analysis process.



An attenuation band of 1 Hz was present in the system. The resulting signals now consisted of only the gamma band frequency components and could be summated to yield the relative power of the gamma band.

Statistical analysis. Statistical analysis was performed on the subject-averaged data with the subject averages being the observations. The primary analysis model implemented was the repeated measures analysis of variance (ANOVA), with physiological dependent variables as those just described. Each single gamma oscillations trial was analyzed for preselected frontal and parietal EEG sites and time window (0–180, 280-480 ms poststimulus). Data for each dependent gamma EEG variable were analyzed using a repeated measures ANOVA. Factors included stimulus (target or nontarget), cue (drug or neutral), hemisphere (right or left), and topographic location (anterior or posterior). Using SPSS (v. 18) analysis package, a model was created to test for significant interactions between electrodes in both lateral (i.e., inferior that include F7, F8, P7, and P8 EEG sites) and medial (F1, F2, P3, and P4) locations pre- and postneurofeedback training. Analysis was carried out for both early and late gamma windows. A priori hypotheses were tested with two-tailed student's t tests for two groups with unequal variance. In all ANOVAs, Greenhouse-Geisser corrected p values were employed where appropriate.

#### RESULTS

# SMR and Theta Changes in Neurofeedback Sessions

The Harris window used samples numbering 725 and was designed to allow complete passage of signals in the 30–40 Hz range.

All participants successfully completed twelve 25- to 30-min-long sessions of SMR-up/theta-down sessions and at least 2

MI sessions (conducted by Dr. Stewart and his associate, addiction psychiatry fellow Dr. Husk). The mean increase of the SMR amplitude as compared to daily baseline level across all neurofeedback sessions was 17.06% (SD = 15.04, t = 3.20, p = .007), but mean change of theta amplitude was not significant. Regression analysis showed that increase of SMR versus baseline along with neurofeedback session numbers was not linear. Considering that out of 10 participants only 8 were available for the postneurofeedback (within 1 week after completion) clinical assessments and cue reactivity test, all results are reported for 8 participants (i.e., hereafter all statistical calculations used N = 8/group).

#### *Effects of Neurofeedback on Reaction Time and EEG Gamma Power in Cue Reactivity Test (Posttreatment)*

Behavioral responses. There were no significant differences in reaction time ( $M = 603.6 \pm$ SD 120.6 ms pre- vs. 576.9  $\pm$  122.4 ms for drug targets postneurofeedback, ns) and accuracy (percentage of commission and omission errors) in the cue reactivity test following neurofeedback treatment.

*Effects of neurofeedback on evoked (early)* EEG gamma responses in cue reactivity test .Neurofeedback affected predominantly evoked early gamma responses to nontarget drug stimuli bilaterally at the frontal and parietal sites (all ps < .05). The power of gamma oscillations to nontarget drug cues significantly decreased posttreatment with decreases ranging from -23.6% (P8) up to -44.94% (P3), M = 35.84% with standard deviation across the EEG channels at 7.43%. Gamma response to target drug cues was less pronounced  $(-9.65 \pm 7.21\%)$  and was significant only at F2, F8, P3, and P7 sites. Changes of gamma power in response to target and non-target drug cues at each EEG recording site are presented in Table 1.

*Cue* (drug, neutral) had main effects both at medial (i.e., F1, F2, P3, P4) and lateral (i.e., inferior, F7, F8, P7, P8) EEG channels with more at medial (F=9.43, p=.001) compared to lateral (F=5.05, p=.044). The *stimulus* (nontarget, target) main effect was highly significant both medially and laterally (medial, F = 268.05, p < .0001; lateral F = 196.75, p < .0001).

Effects of neurofeedback on induced (late) EEG gamma responses. Neurofeedback affected induced gamma responses both to target and nontarget drug stimuli bilaterally at most frontal and parietal sites, except responses to targets at P3. The power of gamma oscillations to nontarget drug cues significantly decreased posttreatment (across all channels,  $M = -47.17 \pm 9.88\%$ ), whereas decreases to target drug cues was also significant but slightly less expressed (-21.58 ± 5.09%).

*Cue* (drug, neutral) had main effects both at medial and lateral EEG channel groups (F=34.28, p < .001, and F=27.20, p < .001,respectively). The *stimulus* (nontarget, target) main effect was also significant medially and laterally (medial sites—across F1, F2, P3, and P4, F=80.52, p < .0001; lateral sites—F7, F8, P7, and P8, F=1173.16, p < .0001).

#### **Topographic Differences and Interaction Effects**

Evoked gamma. Early gamma responses showed a Stimulus (nontarget, target)  $\times$ Treatment (pre-, post-NFB) interaction both at medial (F = 34.82, p < .001) and lateral (F=29.82, p<.001) channels with more of a pronounced decrease in gamma activity to nontarget compared to target cues. A three-way Stimulus  $\times$  Cue (drug, neutral)  $\times$ Treatment interaction was significant only at the medial channel group (F=7.99,p = .015) and can be described as more of a significant decrease to nontarget rather than target drug cues following neurofeedback training. There was a tendency for a Hemisphere (left, right)  $\times$  Topography (anterior, posterior) × Treatment interaction, but the effect did not reach significance (F = 4.56, p = .056, ns).

Induced gamma. Induced gamma responses showed a Stimulus (nontarget, target) × Treatment (pre-, post-NFB) interaction only at lateral EEG channels (F = 60.78, p < .001). Again, the effect manifested as a clearer global decrease in gamma power to nontarget

ower and changes in percent (pre-minus-post) for evoked and induced gamma	
TABLE 1. Pre- and postneurofeedback values of relative gamma (30-40 Hz) pov	responses to target and nontarget drug cues at different topographies.

		Early E	Evoked Gamma	a Power			Late In	Late Induced Gamma	t Power	
EEG Channel/Condition	Pre	Post	% Change	<i>F</i> (1, 14)	Sig.	Pre	Post	% Change	F(1,14)	Sig.
AFz-Nontarget drug	0.399	0.347	-15.0	18.8	0.001**	0.436	0.297	-46.8	182.4	0.000***
AFz-Target drug	0.520	0.499	-4.2	0.4	0.524	0.563	0.472	-19.3	28.2	0.000***
F1-Nontarget drug	0.392	0.321	-22.1	8.5	0.014*	0.429	0.355	-20.8	8.1	0.014*
F1-Target drug	0.495	0.467	-6.0	1.0	0.332	0.552	0.436	-26.6	15.0	0.003**
F2-Nontarget drug	0.400	0.319	-25.4	18.1	0.001***	0.427	0.360	-18.6	7.3	0.018*
F2-Target drug	0.523	0.478	-9.4	7.2	0.023*	0.563	0.445	-26.5	17.4	0.002**
F7-Nontarget drug	0.382	0.318	-20.1	11.4	0.006**	0.426	0.309	-37.9	59.1	0.000***
F7-Target drug	0.46	0.485	5.2	0.9	0.376	0.546	0.475	-14.9	6.5	0.029*
F8-Nontarget drug	0.388	0.299	-29.8	77	0.000***	0.436	0.314	-38.9	37	0.000***
F8-Target drug	0.499	0.469	-6.4	2.6	0.139	0.476	0.467	-1.9	0.0	0.924
P3-Nontarget drug	0.387	0.276	-40.2	22.5	0.001**	0.419	0.285	-47.0	55.0	0.000***
P3-Target drug	0.513	0.442	-16.1	4.1	0.071	0.432	0.438	1.4	0.0	0.958
P4-Nontarget drug	0.399	0.325	-22.8	5.5	0.039*	0.436	0.322	-35.4	20.5	0.001**
P4-Target drug	0.465	0.453	-2.6	0.2	0.641	0.536	0.457	-17.3	12.3	0.006**
P7-Nontarget drug	0.386	0.281	-37.4	23.7	0.000***	0.417	0.279	-49.5	120.8	0.000***
P7-Target drug	0.496	0.433	-14.5	3.7	0.082	0.554	0.433	-27.9	24.3	0.001**
P8-Nontarget drug	0.379	0.326	-16.3	5.0	0.048*	0.438	0.313	-39.9	38.7	0.000***
P8-Target drug	0.456	0.465	1.9	0.1	0.71	0.560	0.465	-20.4	26.1	0.001**

p < 0.05, \*p < 0.01, \*\*\* p < 0.01.

cues (Figure 6). A Stimulus  $\times$  Cue  $\times$ Treatment interaction was significant both at the medial (F = 6.29, p = .022) and lateral (inferior) channels (F = 4.72, p = 0.049) and characterized by more significant was decreases in gamma induced by nontarget compared to target drug cues postneurofeedback. Figures 6 and 7 show a relatively more visible decrease of evoked and induced gamma responses to nontarget and as compared to target drug cues after neurofeedback-based therapy.

#### Clinical Evaluations and Drug Tests After Neurofeedback and Motivational Interviewing

Results of the clinical evaluations showed decreased perceived depression and stress.

Following neurofeedback sessions, participants reported to have reduced depression scores (from  $22.2 \pm 6.9$  at pre- to  $13.6 \pm 8.7$ at post-NFB, two-tailed Student's t test, t = 3.30, p = .004) as measured by the BDI-II (Beck et al., 1996); in addition, there was a reduced stress score (from  $29.9 \pm 8.6$  to  $20.1 \pm 13.9$ , t = 1.95, p = .041) as measured by the PSS-SR (Foa et al., 1997; Foa et al., 1989). Postneurofeedback urine drug screens showed a marginal decrease in positive cocaine tests (t = 1.96, p = .04) and a significant decrease in positive tests for marijuana use (t=2.44, p=.018). Most of the participants reported a decrease in the amount of cocaine and marijuana used and improvements in social status (i.e., resuming study at school, employment, housing, financial security, problems with law, etc.); however, in this study we did not have any independent

FIGURE 6. Baseline and postneurofeedback gamma responses (both early and late) for both target and nontarget stimuli. *Note.* Top pane depicts grand-average waveforms for the medial region (F1, F2, P3, and P4), whereas the bottom pane depicts the grand-average waveforms for the lateral region (F7, F8, P7, and P8).

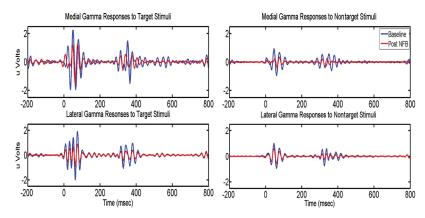
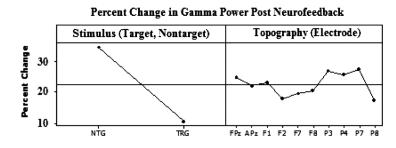


FIGURE 7. Analysis of gamma response change (in percentages) across electrodes. *Note.* Results show a higher percent change to nontarget rather than target stimuli (left), and all electrodes changing in a consistent pattern (i.e., Topography was not a significant factor in percent change of gamma power).



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sources (e.g., family members, neighbors, or social workers reports) to confirm self-reported data collected from our participants. Considering that from 10 partioriginally enrolled in cipants this neurofeedback study all planned clinical, behavioral, and EEG data were collected from 8, a retention rate of 80% was maintained for the outpatient participants in the study.

#### **DISCUSSION**

Outpatient participants with cocaine addiction completed the biobehavioral intervention and successfully increased SMR while keeping theta practically unchanged in 12 sessions of neurofeedback training. The addition of MI helped retain patients in the study. Two participants were not available for postneurofeedback cue reactivity EEG testing. Even though both of them were tested at a 3-month follow-up stage we report here data on 8 patients only. Clinical evaluations immediately after completion of the treatment showed decreased self-reports on depression and stress scores, and urine tests collaborated reports of decreased use of cocaine and marijuana.

Effects of neurofeedback resulted in a lower EEG reactivity to drug-related images in a postneurofeedback cue reactivity test. In particular, evoked gamma showed decreases in power to nontarget and to a lesser extent target drug-related cues at all topographies (left, right, frontal, parietal, medial, inferior), whereas induced gamma power decreased globally to both target and nontarget drug cues. Our findings supported our hypothesis that gamma band cue reactivity measures are sufficiently sensitive functional outcomes of neurofeedback treatment. Both evoked and induced gamma measures were found capable to detect changes in responsiveness to both target and nontarget drug cues.

Application of wavelet transformation analysis and custom-made MATLAB routines provided more advanced and refined outcomes for neurotherapy and functional diagnostics research; these methods should be incorporated in future studies when EEG measures are used for the assessment of attentional biases to drug-related cues in addicts enrolled in biobehavioral therapy. Our findings support the notion that neurofeedback combined with cognitivebehavioral therapy (MI) is a potentially effective approach to the treatment of outpatient substance abusers. Our data are in accord with a previous report that even brief motivational interviewing may reduce cocaine use and engage drug abusers in treatment (Bernstein et al., 2005; Burke et al., 2003).

According to Lenz et al. (2008), even in the new era of functional magnetic resonance imaging (fMRI), the EEG still represents an important tool for psychiatry research. The EEG reflects the electrical activity of large populations of synchronized neurons, mostly cortical pyramidal neurons. Therefore, some mental diseases can be more easily identified with EEG than with functional imaging, especially when the disease manifests in a form of altered electrical brain activity such as in ADHD (Barry, Clarke, & Johnstone, 2003; Clarke, Barry, McCarthy, & Selikowitz, 1998, 2001). Cocaine addiction is also accompanied bv alterations in EEG responses (Alper et al., 1990, Costa & Bauer, 1997; Herning, Glover, Koeppl, et al., 1994; Herning et al., 1985). New trends in cognitive neuroscience make it possible to study the neural network dynamics of mental disorder, and they have strongly contributed to the study of predisposition and brain dysfunction in psychiatric populationsspecifically in substance use disorders (Banaschewski et al., 2003; Herrmann & Demiralp, 2005; Prichep et al., 1996; Prichep et al., 1999; Prichep & John, 1997; Sokhadze, Stewart, & Hollifield, 2007; van der Stelt, van der Molen, Gunning, & Kok, 2001).

Models of brain function in psychopathologies presented with executive deficit symptoms emphasize frontal/parietal interactions in deficits of attention (Shaw et al., 2006; Silberstein et al., 1998) and anterior cingulate/lateral prefrontal cortex interactions in behavioral disinhibition (Barkley, 1997; Williams, 2006). Mechanisms of large-scale coordination between cortical areas can be explored via measures of specific frequency waves in the EEG. The present research focuses on theta (4-7 Hz) and sensorimotor (12-15 Hz) rhythm training with neurofeedback, and gamma (30-40 Hz) wave oscillatory activity during a cue reactivity test in SUD.

Previous EEG, ERP, and fMRI research has contributed to the understanding of impairments in attention, executive functions, and memory in cocaine addiction (Volkow et al., 2003). However, there is a lack of studies investigating substance-abuse-related differences in the gamma range of EEG although gamma oscillations are directly associated with executive prefrontal processes (e.g., cortical inhibition, attention, error monitoring, working memory, etc.), which are thought to be impaired in addicted patients. Deviations of gamma responses indicate that early mechanisms of sensory stimulus processing are altered in addiction, resulting in disproportional significance and preattentive orienting to stimuli associated with drugs (Carter & Tiffany, 1999; Franken, Stam, Kendriks, & van den Brink, 2004; Franken et al., 2006; Garavan et al., 2000; Sokhadze et al., 2007). Sensitization to drug-related cues is a wellknown phenomenon in addiction research (Franken, 2003; Robinson & Berridge, 1993), even though the neurobiological mechanisms of this overreactivity and its behavioral consequences are not yet clearly defined. We believe that posttreatment assessments following intervention in substance-dependent individuals should incorporate physiological drug reactivity measures and cannot be limited to self-reported outcomes or clinical evaluations only.

Historically evoked and ERPs have been the primary electrophysiological indices of cognitive processes and have provided important insights into human brain functions. There is now substantial research suggesting that some ERP features arise from oscillatory changes due to sensory and cognitive processes, and these changes influence the dynamics of EEG in different frequency bands (Herrmann & Demiralp, 2005: Rangaswamy & Poriesz. 2008: Yordanova, Banaschewski, Kolev, Woerner, & Rothenberger, 2001). The study of the functional correlates of evoked and

event-related EEG oscillations, including those in portions of the high-frequency gamma band, has recently become an important branch of cognitive neuroscience. The current review by Başar and Güntekin (2008) includes analysis of EEG oscillations in ADHD, alcoholism, substance abuse, and those with genetic disorders.

The early, time-locked gamma response is mainly related to the earlier operations of information processing that culminate in sensation and perception (Karakaş et al., 2006). The gamma response that occurs as nonphase-locked activity in the late time window (i.e., induced) varies as a function of task demands and represents perceptual/cognitive processes. The non-phase-locked activity of the late gamma response is thus basically a phenomenon of pattern recognition or short-term memory, and it fulfills perceptual and cognitive functions (Karakas et al., 2006; Muller et al., 2000; Tallon-Baudry, 2003). According to Tallon-Baudry and Bertrand (1999), induced gamma activity could reflect the activation of an object representation, both in the visual and auditory modality. According to some studies, the area-specific 40 Hz centered gamma activity response represents "perception of coherent visual patterns" and is related to "perception of meaningful visual elements" and thus to higher cognitive processes (Lutzenberger. Pulvermüller, Elbert, & Birbaumer, 1995). Our study showed that gamma responses to motivationally salient visual stimuli (i.e., drug images to substance-addicted participants) were not significantly dependent on topography; this was probably due to the coherent simultaneous activation of gamma activity at functionally connected brain areas.

EEG coherence analysis is a technique that investigates the pairwise correlations of power spectra obtained from different electrodes. It measures the functional interaction between cortical areas in different frequency bands. A high level of coherence between two EEG signals indicates coactivation of neuronal populations and provides information on functional coupling between these areas (Franken et al., 2004). EEG coherence abnormalities were reported in patients with cocaine (Roemer, Cornwell, Dewart, Jackson, & Ercegovac, 1995), heroin (Fingelkurts et al., 2006a, 2006b), and marijuana dependence (Struve, Manno, Kemp, Patrick, & Manno, 2003; Struve et al., 1999; Struve, Staumanis, Patrick, & Price, 1989). In the next stage of our research we plan to conduct coherence analysis of oscillations in the gamma band both at early (80–180 ms) and late (280–480 ms) poststimulus phases of oscillatory responses to drug cues.

For a neurofeedback protocol we used EEG recordings over the sensorimotor cortex (e.g., C3, C4), which shows a very distinctive oscillatory pattern in the 12–15 Hz frequency range and is termed the "sensorimotor rhythm" (Hoedlmoser et al., 2008; Howe & Sterman, 1972; Sterman & Wyrwicka, 1967) or the "Rolandic mu rhythm." The SMR usually appears as the dominant EEG activity during quiet and relaxed but alert wakefulness and becomes desynchronized during planning, execution, or the imagination of movements and motor acts (Howe & Sterman, 1972; Neuper, Wortz, & Pfurtscheller, 2006; Pfurtscheller, Bruner, Schlogl, & Lopes da Silva, 2006). There is a growing body of evidence suggesting the feasibility of self-regulation training of the SMR for the correction of altered EEG patterns associated with specific disorders (e.g., ADHD, epilepsy, insomnia, etc.; reviewed in Arns, de Ridder, Strehl, Breteler, & Coenen, 2009; Monastra, 2003; Rossiter & La Vaque, 1995; Sterman & Egner, 2006). Prior studies of SMR neurofeedback had methodological shortcomings, such as missing clearly defined treatment targets, neglecting pre-post SMR neurofeedback assessments of EEG, and so on (Hoeldmoser et al., 2008). The SMR is known to be associated with inhibition of motor activity (Chase & Harper, 1971) and can be considered as the dominant "standby" frequency of the integrated thalamo-cortical, somato-sensory, and somato-motor pathways (Sterman & Egner, 2006). Similarly, synchronization of the SMR has been suggested to be a correlate of an "idling" state (Hummel, Andres, Altenmuller, Dichgans, & Gerloff, 2002; Mullholand & Human, 1995). According to a theoretical perspective (Hoeldmoser et al., 2008), the utility of SMR

relates to the numerous findings that define this EEG rhythm as a thalamo-cortical consequence of decreased motor excitability (Pfurtscheller, Staneak, & Neuper, 1996) arising both intentionally and passively from the imposition of motor inhibition from functional reorganization within striatal (basal ganglia) circuits (Sterman & Egner, 2006).

It is possible to propose that a treatment approach that uses neuromodulation techniques to activate and strengthen circuits involved in inhibitory control, including self-regulation training directed at the normalization of frontal and central cortical activity, may increase ability to successfully maintain abstinence from drug-seeking and drug-taking behaviors typical of addicted individuals.

This pilot study has several limitations that need to be addressed. The size of the sample was relatively small and the majority of patients were male with a relatively long history of crack cocaine use, though only half of them had a documented cocaine dependence diagnosis. The study can be considered an extended case series because we did not have a control group. MI sessions were combined with neurofeedback, making it impossible to differentiate between effects of these two arms. The study was limited to participants without a comorbid mental condition, though in our previous studies using similar pictorial and verbal cue reactivity tests we demonstrated important behavioral and ERP group differences in patients with and without co-occurring psychiatric conditions—specifically in dually diagnosed patients with cocaine abuse comorbid with PTSD (Sokhadze et al., 2007; Sokhadze, Stewart, et al., 2008). Despite the aforementioned limitations, it should be taken into account that the study had a clearly defined methodological emphasis and the main claims are related to improvements of cue reactivity tests in addiction using advanced methods of high frequency EEG band response measures. We admit in the development of the notion that this case series is limited in that no far-going conclusions can be drawn from it, but we believe that a suggested methodological approach lays the

methodological and rationale groundwork for further studies in the future. We plan to use gamma responses along with the more standard qEEG measures (e.g., resting eyes-open and eyes-closed EEG conditions using dense-array EEG recording) of neurofeedback-based treatment outcomes.

Future studies have to address questions of whether neurotherapy integrated with specific forms of cognitive-behavioral therapy (which should not be limited to MI) might be successfully applied to dually diagnosed patients with different combinations of SUD and mental disorders, and whether observed changes are stable in the long term. The crucial point concerning this type of neurotherapy approach is that it directly acts on the brain oscillations, which are altered in SUD and comorbid conditions (e.g., excess of theta in ADHD). Future studies should continue to focus on the clinical, electrophysiological (e.g., qEEG), and cognitive effects of neurofeedback integrated with cognitivebehavioral therapy on more extended sets of neurocognitive tasks and address the possible clinical significance of the integrated biobehavioral training as a treatment arm for dual diagnosis.

#### **CONCLUSIONS**

Our study emphasizes the utility of cognitive neuroscience methods based on gEEG measures for the assessment of the functional outcomes of EEG neurofeedback-based biobehavioral interventions for addictive disorders. This approach may have significant potential for identifying both physiological and clinical markers of treatment progress. These markers may provide useful information for planning interventions in substance use disorders. The specific aim of this pilot study was to determine the presence of an attentional bias to preferentially process drug-related cues (using evoked and induced gamma reactivity measures) in cocaine addicts before and after 12 sessions of behavioral treatments based on neurofeedback. Our hypothesis was that central SMR amplitude increase is possible in an experimental outpatient SUD group over 12 operant conditioning sessions. The results confirmed out prediction that EEG changes achieved with neurofeedback training will be accompanied by positive EEG outcomes in cue reactivity and clinical improvements.

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